

# When negation gets in the way

## 1. Some phenomena

### Degree questions

Negation creates an “island” for *wh*-phrases in degree interrogatives. (Examples from Rullmann 1995).

- (1) a. I wonder how tall Marcus is \_\_\_\_.  
b. \* I wonder how tall Marcus isn't \_\_\_\_.
- (2) a. I wonder how heavy this piece of equipment is \_\_\_\_.  
b. \* I wonder how heavy this piece of equipment isn't \_\_\_\_.
- (3) a. I wonder how fast Lou can run \_\_\_\_.  
b. \* I wonder how fast Lou can't run \_\_\_\_.

Not all types of *wh*-phrases are subject to this restriction.

- (4) a. I wonder which book Theodore read \_\_\_\_.  
b. I wonder which book Theodore didn't read \_\_\_\_.

### Multiple questions

Pesetsky (2000) observes that negation also destroys the acceptability of certain multiple *wh*-questions.

- (5)a. Who \_\_\_\_ read *what*?  
b. \* What did *who* read \_\_\_\_ ?
- (6)a. Which person \_\_\_\_ read *which book*?  
b. Which book did *which person* read \_\_\_\_ ?
- (7) a. Which person \_\_\_\_ didn't read *which book*?  
b. \* Which book didn't *which person* read \_\_\_\_ ?

### How many questions

In *how many* interrogatives, adding negation usually does not destroy acceptability. But in some cases the negative sentence lacks a kind of ambiguity that is observed in its positive counterpart.

- (8) How many books are we required to read \_\_\_\_ ?

- (9) a. ?n:  $\{x: x \text{ is a book such that it is necessary that we read } x\} = n$   
b. ?n: it is necessary that  $\{x: x \text{ is a book such that we read } x\} = n$

If every student has to read the three books listed in the left column below as well as two books from the right column, then both “three” and “five” can be true answers to (8). Unexpectedly, (11) below only allows for a true answer “five”, not for “six”, “seven”, or “eight”.

- (10) 1. *The Venetian Affair*      1. *Anna Karenina*  
2. *The Big Sleep*            2. *The Magic Mountain*  
3. *Journey into Fear*        3. *The Return of the King*  
4. *The Long Goodbye*  
5. *To the Hilt*

(11) How many books are we not required to read \_\_\_ ?

- (12)a. ?n:  $\{x: x \text{ is a book such that it is not necessary that we read } x\} = n$   
b. \* ?n: it is not necessary that  $\{x: x \text{ is a book such that we read } x\} = n$

### Questioned clefts

The following pattern does not seem to have been discussed in the literature.

- (13)a. What did you not read \_\_\_ ?  
b. What is it that you didn't read \_\_\_ ?  
c. \* What isn't it that you read \_\_\_ ?

### German separation constructions

Beck (1996) discusses a family of constructions in which negation cannot intervene between two expressions that presumably have to be adjacent at logical form.

- (14) *Was glaubst du [mit wem] Hans \_\_\_\_\_ gesprochen hat?*  
*what think you with whom Hans talked has*  
'Who do you think that Hans talked to?'
- (15) \* *Was glaubst du nicht [mit wem] Hans \_\_\_\_\_ gesprochen hat?*  
*what think you not with whom Hans talked has*

### Comparatives

Von Stechow (1985) notes contrasts like the following.

- (16)a. John weighs more than Bill weighs \_\_\_ .  
b. \* John weighs more than Bill doesn't weigh \_\_\_ .

## 2. Negative comparatives are unusable

Following von Stechow (1985), Rullmann (1995) proposes that *more than* comparatives are interpreted as sketched below.

- (17) John weighs more than Bill weighs.
- (18) **more [than<sub>2</sub> [Bill weighs t<sub>2</sub>] ] 1 [John weighs t<sub>1</sub>]**
- (19) **[[ than<sub>2</sub> [Bill weighs t<sub>2</sub>] ] ] = {d: Bill weighs d-much}**  
**[[ 1 [John weighs t<sub>1</sub>] ] ] = {d: John weighs d-much}**
- (20) **[[more α β]] = 1 iff ∃d[ d ∈ [[β]] & d > max[[α]] ]**
- (21) **[[ more [than<sub>2</sub> [Bill weighs t<sub>2</sub>] ] 1 [John weighs t<sub>1</sub>] ] ] = 1 iff**  
**∃d[ John weighs d-much & d > max{d: Bill weighs d-much}]**

Why does Rullmann take *more* to pick out the maximal element of the set denoted by the *than*-clause?

- (22) John weighs more than Bill can weigh.
- (23) **more [than<sub>2</sub> [Bill can weigh t<sub>2</sub>] ] 1 [John weighs t<sub>1</sub>]**
- (24) **[[ than<sub>2</sub> [Bill can weigh t<sub>2</sub>] ] ] = {d: Bill can weigh d-much}**
- (25) **[[ more [than<sub>2</sub> [Bill weighs t<sub>2</sub>] ] 1 [John weighs t<sub>1</sub>] ] ] = 1 iff**  
**∃d[ John weighs d-much & d > max{d: Bill can weigh d-much}]**

The *than*-clause in (22) denotes the set of all weights that Bill can have, so (22) is correctly predicted to say that John's weight is greater than the maximal element of that set.

- (26) \* John weighs more than Bill doesn't weigh.
- (27) **more [than<sub>2</sub> [Bill can weigh t<sub>2</sub>] ] 1 [John doesn't weigh t<sub>1</sub>]**
- (28) **[[ than<sub>2</sub> [Bill doesn't weigh t<sub>2</sub>] ] ] = {d: Bill doesn't weigh d-much}**
- (29) **[[ more [than<sub>2</sub> [Bill weighs t<sub>2</sub>] ] 1 [John weighs t<sub>1</sub>] ] ] = 1 iff**  
**∃d[ John weighs d-much & d > max{d: Bill doesn't weigh d-much}]**

Since {d: Bill doesn't weigh d-much} does not have a maximal element, the negative comparative sentence is not assigned any truth conditions. In Rullmann's account, the sentence is ruled out as unusable.

### 3. Are negative degree questions unusable, too?

- (30)a. How long did it take?  
b. \* How long didn't it take?

#### Question denotations as sets

In a view going back to Karttunen (1977) and Hamblin (1973), a question denotes a set of propositions - the set of propositions that are possible answers to the question.

- (31) Did Rajesh teach the class?  
{that Rajesh taught the class, that Rajesh did not teach the class}
- (32) Did Rajesh or Bernhard teach the class?  
{that Rajesh taught the class, that Bernhard taught the class}
- (33) Which instructor taught the class?  
{that Rajesh taught the class, that Bernhard taught the class}

#### Karttunen style composition of *wh*-questions

- (34)  $[[\mathbf{wh} \alpha \beta]] = \{[[\beta]](x): [[\alpha]](x) \text{ is true}\}$

This rule assumes an intensional semantics, that is, it assumes that declarative sentences denote propositions, rather than truth values. Accordingly, one-place predicates are taken to denote properties, that is, functions from the set of individuals into the set of propositions. In particular, we have  $[[\mathbf{instructor}]] = [\lambda y \in D. \text{that } y \text{ is an instructor}]$  and  $[[\mathbf{1} [t_1 \text{ taught the class}]]] = [\lambda y \in D. \text{that } y \text{ taught the class}]$ .

- (35)  $[[\mathbf{which instructor 1} [t_1 \text{ taught the class}]]] =$   
{that Bernhard taught the class, that Rajesh taught the class}

#### Karttunen style semantics for *know*

- (36) Theodore knows [which instructor taught the class].  
(37)  $[[\alpha \mathbf{know} \beta]] = \text{that for every } p \in [[\beta]]: p \text{ is true} \rightarrow [[\alpha]] \text{ knows } p$

#### Exhaustivity in individual questions

- (38) Which books has John read?
- (39)
- |                                     |     |
|-------------------------------------|-----|
| 1. <i>The Venetian Affair (TVA)</i> | YES |
| 2. <i>The Big Sleep (TBS)</i>       | YES |
| 3. <i>Journey into Fear (JIF)</i>   | NO  |

Following Karttunen and Rullmann, let us ignore the semantic effect of plural morphology and assume that  $[[\mathbf{book}]] = [[\mathbf{books}]] = [\lambda y \in D. \text{that } y \text{ is a book}]$ .

- (40)  $[[\mathbf{which\ books\ 1\ [John\ has\ read\ t_1]}]] =$   
 $\{\text{that John read } TVA,$   
 $\text{that John read } TBS,$   
 $\text{that John has read } JIF\}$

- (41) Theodore knows which books John has read.

Karttunen's semantics for questions and *know* predicts that this sentence can be true in our scenario without Theodore knowing that John hasn't read *JIF*. Like Groenendijk and Stokhof (1982), Rullmann takes this prediction to be incorrect.

- (42) Theodore knows which books John has read  
 Theodore knows that John has read *TVA*  
 Theodore knows that John has read *TBS*  
 ! Theodore knows that John has not read *JIF*

### Exhaustivity through maximality

Rullmann proposes a variant of the Karttunen-style *wh*-question rule shown above. He does not offer a general rule, but the following seems close to what he intends.

- (43)  $[[\mathbf{wh\ \alpha\ \beta}]] = \{\text{that } \max\{y: [[\beta]](y) \text{ is true}\} = x: [[\alpha]]^+(x) \text{ is true}\}$

This rule presupposes that the domain of individuals includes "sums" of individuals like *TVA* + *TBS* in addition to atomic individuals like *TVA* or *TBS*. The maximum of a set of individuals is the sum of all the individuals in this set. For example, the maximum of  $\{TVA, TBS, JIF\}$  is the sum individual *TVA* + *TBS* + *JIF*. The variable *x* in the rule ranges not just over atomic individuals, but also over sums. The condition " $[[\alpha]]^+(x)$  is true" abbreviates "*x* is a sum of one or more individuals *y* for which  $[[\alpha]](y)$  is true".

- (44)  $[[\mathbf{which\ books\ 1\ [John\ has\ read\ t_1]}]] =$   
 $\{\text{that } \max\{y: \text{John has read } y\} = TVA,$   
 $\text{that } \max\{y: \text{John has read } y\} = TBS,$   
 $\text{that } \max\{y: \text{John has read } y\} = JIF,$   
 $\text{that } \max\{y: \text{John has read } y\} = TVA + TBS,$   
 $\text{that } \max\{y: \text{John has read } y\} = TVA + JIF,$   
 $\text{that } \max\{y: \text{John has read } y\} = TBS + JIF,$   
 $\text{that } \max\{y: \text{John has read } y\} = TVA + TBS + JIF\}$

Rullmann proposes that such a variant of the Karttunen-style rule derives the desired inference pattern for cases of *know* plus interrogative.

### Maximality in degree questions

(45) How long did it take?

In the logical forms below,  $\Delta$  is taken to denote a property of appropriate degrees.

(46)  $[[ \text{how } \Delta \text{ 1 } [ \text{it did take } t_1 \text{ long} ] ] ] =$   
{that  $\max\{d: \text{it took } d\text{-long}\} = 1 \text{ hour,}$   
that  $\max\{d: \text{it took } d\text{-long}\} = 2 \text{ hours,}$   
that  $\max\{d: \text{it took } d\text{-long}\} = 3 \text{ hours, ...}$ }

Rullmann takes the maximum of a set of degrees to be the greatest degree in that set, rather than the group or “sum” of all the degrees in that set.

(47) \* How long didn't it take?

(48)  $[[ \text{how } \Delta \text{ 1 } [ \text{it did take } t_1 \text{ long} ] ] ] =$   
{that  $\max\{d: \text{it did not take } d\text{-long}\} = 1 \text{ hour,}$   
that  $\max\{d: \text{it did not take } d\text{-long}\} = 2 \text{ hours,}$   
that  $\max\{d: \text{it did not take } d\text{-long}\} = 3 \text{ hours, ...}$ }

### Maximality in *how many* questions

(49) How many books has John read?

(50)  $[[ \text{how } \Delta \text{ 1 } [ [t_1 \text{ many books} ] \text{ 2 } [ \text{John has read } t_2 ] ] ] ] =$   
{that  $\max\{d: \text{John has read } d\text{-many books}\} = 1,$   
that  $\max\{d: \text{John has read } d\text{-many books}\} = 2,$   
that  $\max\{d: \text{John has read } d\text{-many books}\} = 3, \dots$ }

Rullmann suggests that the maximality analysis also derives the lack of ambiguity in negative *how many* questions. The following example illustrates.

(51) How many books has John not read?

(52)  $[[ \text{how } \Delta \text{ 1 } [ [t_1 \text{ many books} ] \text{ 2 } [ \text{not } [ \text{John has read } t_2 ] ] ] ] ] =$   
{that  $\max\{d: \text{there are } d\text{-many books that John has not read}\} = 1,$   
that  $\max\{d: \text{there are } d\text{-many books that John has not read}\} = 2,$   
that  $\max\{d: \text{there are } d\text{-many books that John has not read}\} = 3, \dots$ }

(53)  $[[ \text{how } \Delta \text{ 1 } [ \text{not } [ [t_1 \text{ many books} ] \text{ 2 } [ \text{John has read } t_2 ] ] ] ] ] =$   
{that  $\max\{d: \text{it is not the case that John has read } d\text{-many books}\} = 1,$   
that  $\max\{d: \text{it is not the case that John has read } d\text{-many books}\} = 2,$   
that  $\max\{d: \text{it is not the case that John has read } d\text{-many books}\} = 3, \dots$ }

## Problems for the maximality account

Beck and Rullmann (1999) observe that Rullmann's (1995) maximality analysis does not always make the correct predictions on interpretation and acceptability. Suppose our marble cake recipe calls for two eggs.

(54) How many eggs are needed?

(55) **[[ how Δ 1 [ t<sub>1</sub> many eggs are needed ] ] =**  
{that max{d: d-many eggs are needed} = 1,  
that max{d: d-many eggs are needed} = 2,  
that max{d: d-many eggs are needed} = 3, ... }

We need two eggs to make the cake and we do not need any more than that. Therefore the maximum of {d: d-many eggs are needed} is 2.

(56) How many eggs are sufficient?

(57) **[[ how Δ 1 [ t<sub>1</sub> many eggs are sufficient ] ] =**  
{that max{d: d-many eggs are sufficient} = 1,  
that max{d: d-many eggs are sufficient} = 2,  
that max{d: d-many eggs are sufficient} = 3, ... }

Two eggs are sufficient to make the cake. If two eggs are sufficient, then of course any greater number of eggs is sufficient as well. Accordingly, the set {d: d-many eggs are sufficient} does not have a maximum.